

RARE C



INS of New Hampshire Inc.

P.O. Box 720, 28 Jones Road, Suite #1, Milford, New Hampshire 03055
 Website: www.rare-coins.com
 E-mail: dwdee1@aol.com

NEW ACCOUNT FORM			REGISTERED REPRESENTATIVE NAME			REGISTERED REPRESENTATIVE NUMBER					
IF THE ACCOUNT IS NOT A STANDARD INDIVIDUAL ACCOUNT COMPLETE BELOW: <input type="checkbox"/> UTMA <input type="checkbox"/> COMMUNITY PROPERTY <input type="checkbox"/> TENANTS IN COMMON <input type="checkbox"/> TRUST <input type="checkbox"/> ESTATE <input type="checkbox"/> UGMA <input type="checkbox"/> JOINT TENANTS W.R.O.S. <input type="checkbox"/> INVESTMENT CLUB <input type="checkbox"/> MANAGED ACCOUNT <input type="checkbox"/> PARTNERSHIP											
ACCOUNT REGISTRATION						SUITABILITY You should be aware of the product that you are purchasing. It is important for you to understand not only rare coins and coin bullion, but also the rare coin and bullion markets. We recommend that before you purchase rare coins and coin bullion, you should first have adequate cash reserves and insurance (life, health and risk). We recommend that the remaining portion of your investment portfolio contain both equity and fixed-income assets, and that only 5 to 20% of this portfolio should be invested in rare coins and coin bullion.					
MAILING ADDRESS OF ACCOUNT											
HOME ADDRESS (NO POST OFFICE BOX)				HOME PHONE							
NAME OF CURRENT EMPLOYER				WORK PHONE							
ADDRESS OF EMPLOYER						CITIZENSHIP					
ADDRESS OF EMPLOYER						STATE OF PERMANENT RESIDENCE					
IF PARTY IS A MEMBER OF SECURITIES FIRM SPECIFY FIRM AND ROLE						TAX FILING STATUS					
TYPE OF BUSINESS			JOB TITLE			<input type="checkbox"/> SINGLE <input type="checkbox"/> HEAD/HOUSEHOLD <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> NON TAXABLE <input type="checkbox"/> JOINT <input type="checkbox"/> CORPORATION <input type="checkbox"/> TRUST <input type="checkbox"/> OTHER					
ANNUAL INCOME (TOTAL)	ANNUAL INCOME (TAXABLE)	NET WORTH	MARITAL STATUS	DATE OF BIRTH							
HOME ADDRESS SECOND PARTY (NO POST OFFICE BOX)				HOME PHONE							
NAME OF CURRENT EMPLOYER - SECOND PARTY				WORK PHONE		CITIZENSHIP					
ADDRESS OF EMPLOYER - SECOND PARTY						STATE OF PERMANENT RESIDENCE					
IF PARTY IS A MEMBER OF SECURITIES FIRM SPECIFY FIRM AND ROLE						TAX FILING STATUS					
TYPE OF BUSINESS - SECOND PARTY			JOB TITLE			<input type="checkbox"/> SINGLE <input type="checkbox"/> HEAD/HOUSEHOLD <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> NON TAXABLE <input type="checkbox"/> JOINT <input type="checkbox"/> CORPORATION <input type="checkbox"/> TRUST <input type="checkbox"/> OTHER					
ANNUAL INCOME (TOTAL)	ANNUAL INCOME (TAXABLE)	NET WORTH	MARITAL STATUS	DATE OF BIRTH							
INVESTMENT OBJECTIVE (BANK THOSE SELECTED 1-4)											
_____ SAFETY OF PRINCIPAL		_____ GROWTH		_____ INCOME		_____ SPECULATION					
W-9 CERTIFICATION			SOCIAL SECURITY NUMBER		TAX ID NUMBER		SOCIAL SECURITY NUMBER - SECOND PARTY OR MINOR'S				
CERTIFICATION - Under penalties of perjury, by execution of this form below, I certify that: (1) The number shown on this form is my correct Taxpayer identification Number (or I am waiting for a number to be assigned to me) and (2) I am not subject to backup withholding either because I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of failure to report all interest or dividends, or the IRS has notified me that I am no longer subject to backup withholding.											
CERTIFICATION OF INSTRUCTIONS - You must cross out item (2) above if you have been notified by the IRS that you are subject to backup withholding because of under reporting interest of dividends on your tax return. However, if after being notified by the IRS that you were subject to backup withholding you received another notification from IRS that you are no longer subject to backup withholding do not cross out item (2).											
<p>Client Agreement</p> <p>To RCNH, Inc.</p> <p>In consideration of RCNH, Inc. opening one or more accounts on my behalf, I agree to be bound by the Agreement of Arbitrate Controversies, which has been fully disclosed on the back of this form.</p> <p>The Time Horizon for Rare Coins is a minimum of 5 to 10 years.</p>											
THE UNDERSIGNED ACCOUNT OWNER. A. ACKNOWLEDGES THAT INVESTMENTS IN RARE COINS WARRANT A HOLDING PERIOD OF A MINIMUM OF 5 TO 10 YEARS AND THAT EARLIER SALE MAY RESULT IN A LOSS; AND, B. AGREES, IN THE EVENT OF ANY CLAIM, CONTROVERSY OR DISPUTE WITH RARE COINS OF NEW HAMPSHIRE, THE REGISTERED ADVISOR OR BOTH, TO ARBITRATE SUCH CLAIM, ETC. IN ACCORDANCE WITH THE TERMS OF THE AGREEMENT ON THE BACK OF THIS FORM WHICH IS INCORPORATED HEREIN.											
SIGNATURE (FIRST PARTY) (For Agreement & W-9 Certification)			DATE			SIGNATURE (SECOND PARTY IF APPLICABLE) (For Agreement & W-9 Certification)			DATE		
X						X					
THE STATEMENTS CONTAINED ON THIS FORM HAVE BEEN VERIFIED AS NECESSARY AND ARE TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE AND BELIEF.											
X REPRESENTATIVE'S SIGNATURE			DATE			X PRINCIPAL'S SIGNATURE, RARE COINS OF NEW HAMPSHIRE			DATE		

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CANCELLATION AGREEMENT

IMPORTANT, PLEASE READ AND SIGN:

- A portfolio of Rare Coins in rare coins should be considered as a component of a well diversified investment portfolio.
- Depending upon market conditions, rare coin portfolios are recommended to be held for a minimum of five to ten years.
- Each rare coin portfolio is custom assembled, and as such, depending upon the size of the investment and the availability of suitable coins, could take up to thirty days to construct. (In some very unusual cases, construction time for portfolios may exceed the thirty day time frame. In these cases, both the financial advisor and client will be notified of the new proposed delivery date.)
- Should the client decide to cancel the order, they may do so within five business days of the date of the signed order form and receive a full refund. After five business days, any undelivered balance will be refunded in full, but a cancellation charge of ten percent (10%) will be levied against any portion of the order where coins have been procured.
- The undersigned acknowledges that they have read and understand the above.

Signed _____ **Date** _____

Signed _____ **Date** _____

Agreement to Arbitrate Controversies:

1. In the event of any litigation or other proceeding commenced regarding any matter, cause or thing in any manner pertaining to the dealings or relationship between the Account Owner and Rare Coins of New Hampshire, the Registered Representative identified on the first line on the front side of this form, or both, the Account Owner hereby waives the right to a trial by jury. The Account Owner represents that this waiver is made knowingly and voluntarily after the opportunity to consult with counsel.
2. In the event of any claim, controversy or any dispute in any manner pertaining to the dealings or relationship between the Account Owner and Rare Coins of New Hampshire and/or the Registered Representative, the Account Owner agrees to submit such to final and binding arbitration as provided below. Any dispute as to the arbitrability of any such claim, controversy or dispute shall be determined in such arbitration.
3. Except as otherwise provided below, arbitration shall be in accordance with Section 1(B) of the Arbitration Rules of the Professional Numismatists Guild, Inc., (hereinafter referred to as PNG.)
4. A numismatic item is defined as the term is commonly used in the trade. Arbitration may include, but is not limited to, a claim or controversy over authenticity, condition, price, exchange, trade, purchase, or sale of any numismatic item.
5. In accordance with Section 3(A)(4), non-members must pay a filing fee of \$100 to PNG before their claims may be processed plus an arbitrator's fee of \$150 per hearing. Under the current PNG bylaws, every member of the PNG is bound to observe the arbitration provisions without execution of any agreement. The arbitrators' award shall be final and binding on all parties and, except as hereafter provided, the parties agree to keep the contents of PNG arbitration awards strictly confidential.
6. The Executive Director of the PNG, acting for the Board of Directors, shall have the right to determine whether a dispute is arbitrable pursuant to Section 3(A)(4) of the PNG's Arbitration Rules and that such decision is final. I understand that if the Executive Director determines a dispute is not arbitrable by PNG, I shall have no remedy against the PNG and any claim, controversy or dispute then shall be referred to final and binding arbitration to the American Arbitration Association in accordance with its commercial arbitration rules.
7. The Account Owner understands that arbitration is the sole and exclusive remedy, the request for arbitration cannot be withdrawn, and a lawsuit or any other type of action is hereby waived.
8. The Account Owner acknowledges:
 - A. The arbitrator will issue a final and binding award: and,
 - B. An award may be confirmed, and a judgment entered upon the award, in any court of competent jurisdiction by any of the parties, or, if applicable, by the PNG.
9. The Account Owner understands that the PNG is making arbitration available at no charge beyond the \$100 filing fee and \$150 arbitrators' fee strictly as an accommodation. Because of this, the Account Owner agrees not to seek to hold the PNG, its employees, arbitrators, or members, liable in any way whatsoever for any event arising out of the arbitration or for any other reason.

Account Owner

Account Owner